



GPDs and SSA

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Outline

- GPDs: probabilistic interpretation as Fourier transforms of impact parameter dependent PDFs
 - $H(x, 0, -\Delta_{\perp}^2) \longrightarrow q(x, \mathbf{b}_{\perp})$
 - $\tilde{H}(x, 0, -\Delta_{\perp}^2) \longrightarrow \Delta q(x, \mathbf{b}_{\perp})$
 - $E(x, 0, -\Delta_{\perp}^2)$
 - $\hookrightarrow \perp$ deformation of unpol. PDFs in \perp pol. target
 - Sivers effect
 - $2\tilde{H}_T + E_T \longrightarrow \perp$ deformation of \perp pol. PDFs in unpol. target
 - correlation between quark angular momentum and quark transversity
 - Boer-Mulders function $h_1^{\perp}(x, \mathbf{k}_{\perp})$
- Summary

Generalized Parton Distributions (GPDs)

- GPDs: **decomposition of form factors** at a given value of t , w.r.t. the average momentum fraction $x = \frac{1}{2} (x_i + x_f)$ of the active quark

$$\int dx H_q(x, \xi, t) = F_1^q(t) \quad \int dx \tilde{H}_q(x, \xi, t) = G_A^q(t)$$

$$\int dx E_q(x, \xi, t) = F_2^q(t) \quad \int dx \tilde{E}_q(x, \xi, t) = G_P^q(t),$$

- x_i and x_f are the momentum fractions of the quark before and after the momentum transfer
- $2\xi = x_f - x_i$

- formal definition (unpol. quarks):

$$\int \frac{dx^-}{2\pi} e^{ix^- \bar{p}^+ x} \left\langle p' \left| \bar{q} \left(-\frac{x^-}{2} \right) \gamma^+ q \left(\frac{x^-}{2} \right) \right| p \right\rangle = H(x, \xi, \Delta^2) \bar{u}(p') \gamma^+ u(p)$$

$$+ E(x, \xi, \Delta^2) \bar{u}(p') \frac{i\sigma^{+\nu} \Delta_\nu}{2M} u(p)$$

Generalized Parton Distributions (GPDs)

- in the limit of vanishing t and ξ , the nucleon non-helicity-flip GPDs must reduce to the ordinary PDFs:

$$H_q(x, 0, 0) = q(x) \quad \tilde{H}_q(x, 0, 0) = \Delta q(x).$$

- GPDs are **form factor** for only those quarks in the nucleon carrying a certain **fixed momentum fraction** x
- ↪ t dependence of GPDs for fixed x , provides information on the **position space distribution** of quarks carrying a certain momentum fraction x

Form Factors vs. GPDs

operator	forward matrix elem.	off-forward matrix elem.	position space
$\bar{q}\gamma^+q$	Q	$F(t)$	$\rho(\vec{r})$
$\int \frac{dx^-}{4\pi} e^{ixp^+x^-} \bar{q}\left(\frac{-x^-}{2}\right) \gamma^+ q\left(\frac{x^-}{2}\right)$	$q(x)$	$H(x, \xi, t)$?

Form Factors vs. GPDs

operator	forward matrix elem.	off-forward matrix elem.	position space
$\bar{q}\gamma^+q$	Q	$F(t)$	$\rho(\vec{r})$
$\int \frac{dx^-}{4\pi} e^{ixp^+x^-} \bar{q}\left(\frac{-x^-}{2}\right) \gamma^+ q\left(\frac{x^-}{2}\right)$	$q(x)$	$H(x, 0, t)$	$q(x, \mathbf{b}_\perp)$

$q(x, \mathbf{b}_\perp) = \text{impact parameter dependent PDF}$

Impact parameter dependent PDFs

M.B., PRD 62, 71503 (2000)

- define state that is localized in \perp position:
[D.Soper, PRD15, 1141 (1977)]

$$|p^+, \mathbf{R}_\perp = \mathbf{0}_\perp, \lambda\rangle \equiv \mathcal{N} \int d^2\mathbf{p}_\perp |p^+, \mathbf{p}_\perp, \lambda\rangle$$

Note: \perp boosts in IMF form Galilean subgroup \Rightarrow this state has

$$\mathbf{R}_\perp \equiv \frac{1}{P^+} \int dx^- d^2\mathbf{x}_\perp \mathbf{x}_\perp T^{++}(x) = \sum_i x_i \mathbf{r}_{i,\perp} = \mathbf{0}_\perp$$

(cf.: working in CM frame in nonrel. physics)

- define **impact parameter dependent PDF**

$$q(x, \mathbf{b}_\perp) \equiv \int \frac{dx^-}{4\pi} \langle p^+, \mathbf{R}_\perp = \mathbf{0}_\perp | \bar{q}(-\frac{x^-}{2}, \mathbf{b}_\perp) \gamma^+ q(\frac{x^-}{2}, \mathbf{b}_\perp) | p^+, \mathbf{R}_\perp = \mathbf{0}_\perp \rangle e^{ixp^+ x^-}$$

GPDs \longleftrightarrow $q(x, \mathbf{b}_\perp)$

- nucleon-helicity nonflip GPDs can be related to distribution of partons in \perp plane

$$\begin{aligned}q(x, \mathbf{b}_\perp) &= \int \frac{d^2 \Delta_\perp}{(2\pi)^2} e^{i \Delta_\perp \cdot \mathbf{b}_\perp} H(x, 0, -\Delta_\perp^2) \\ \Delta q(x, \mathbf{b}_\perp) &= \int \frac{d^2 \Delta_\perp}{(2\pi)^2} e^{i \Delta_\perp \cdot \mathbf{b}_\perp} \tilde{H}(x, 0, -\Delta_\perp^2)\end{aligned}$$

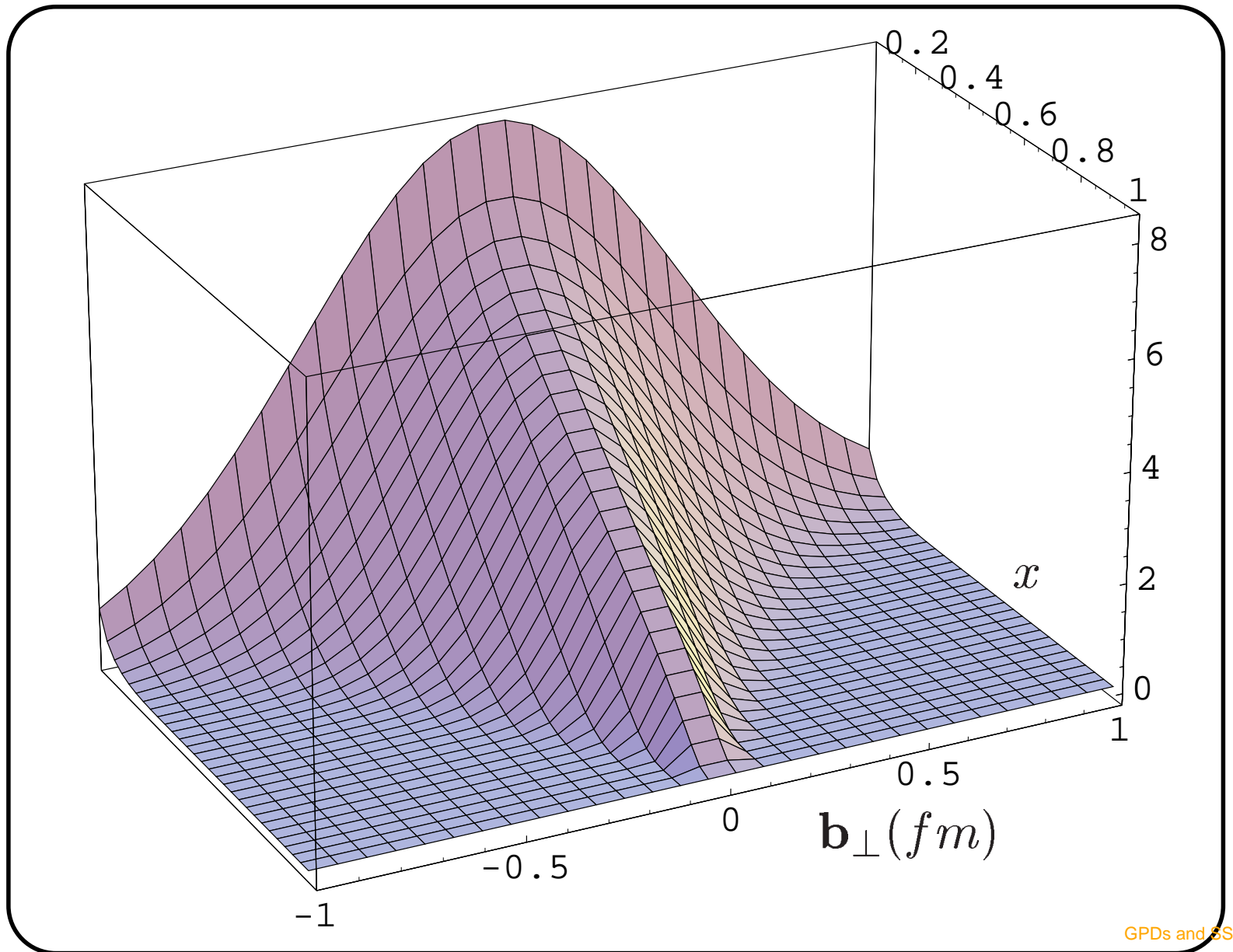
- no rel. corrections to this result! (Galilean subgroup of \perp boosts)
- $q(x, \mathbf{b}_\perp)$ has probabilistic interpretation, e.g.

$$\begin{aligned}q(x, \mathbf{b}_\perp) &\geq |\Delta q(x, \mathbf{b}_\perp)| \geq 0 \quad \text{for } x > 0 \\ q(x, \mathbf{b}_\perp) &\leq -|\Delta q(x, \mathbf{b}_\perp)| \leq 0 \quad \text{for } x < 0\end{aligned}$$

GPDs \longleftrightarrow $q(x, \mathbf{b}_\perp)$

- \mathbf{b}_\perp distribution measured w.r.t. $\mathbf{R}_\perp^{CM} \equiv \sum_i x_i \mathbf{r}_{i,\perp}$
 - \hookrightarrow width of the \mathbf{b}_\perp distribution should go to zero as $x \rightarrow 1$, since the active quark becomes the \perp center of momentum in that limit!
 - \hookrightarrow $H(x, 0, -\Delta_\perp^2)$ must become Δ_\perp^2 -indep. as $x \rightarrow 1$. Confirmed by recent lattice studies (QCDSF, LHPC)
- Anticipated shape of $q(x, \mathbf{b}_\perp)$:
 - large x** : quarks from **localized** valence ‘core’,
 - small x** : contributions from **larger** ‘meson cloud’
 - \hookrightarrow expect a gradual increase of the t -dependence (\perp size) of $H(x, 0, t)$ as x decreases

$q(x, \mathbf{b}_\perp)$ in a simple model



Transversely Distorted Distributions and $E(x, 0, -\Delta_{\perp}^2)$

M.B., Int.J.Mod.Phys.A18, 173 (2003)

- So far: only unpolarized (or long. pol.) nucleon! In general ($\xi = 0$):

$$\int \frac{dx^-}{4\pi} e^{ip^+ x^-} \langle P+\Delta, \uparrow | \bar{q}(0) \gamma^+ q(x^-) | P, \uparrow \rangle = H(x, 0, -\Delta_{\perp}^2)$$

$$\int \frac{dx^-}{4\pi} e^{ip^+ x^-} \langle P+\Delta, \uparrow | \bar{q}(0) \gamma^+ q(x^-) | P, \downarrow \rangle = -\frac{\Delta_x - i\Delta_y}{2M} E(x, 0, -\Delta_{\perp}^2).$$

- Consider nucleon polarized in x direction (in IMF)

$$|X\rangle \equiv |p^+, \mathbf{R}_{\perp} = \mathbf{0}_{\perp}, \uparrow\rangle + |p^+, \mathbf{R}_{\perp} = \mathbf{0}_{\perp}, \downarrow\rangle.$$

- ↪ unpolarized quark distribution for this state:

$$q(x, \mathbf{b}_{\perp}) = \mathcal{H}(x, \mathbf{b}_{\perp}) - \frac{1}{2M} \frac{\partial}{\partial b_y} \int \frac{d^2 \Delta_{\perp}}{(2\pi)^2} E(x, 0, -\Delta_{\perp}^2) e^{-i\mathbf{b}_{\perp} \cdot \Delta_{\perp}}$$

- Physics: $j^+ = j^0 + j^3$, and left-right asymmetry from j^3 !
[X.Ji, PRL 78, 610 (2003)]

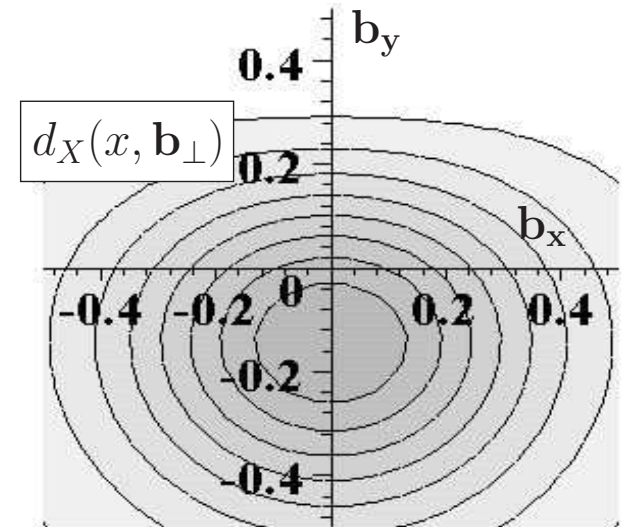
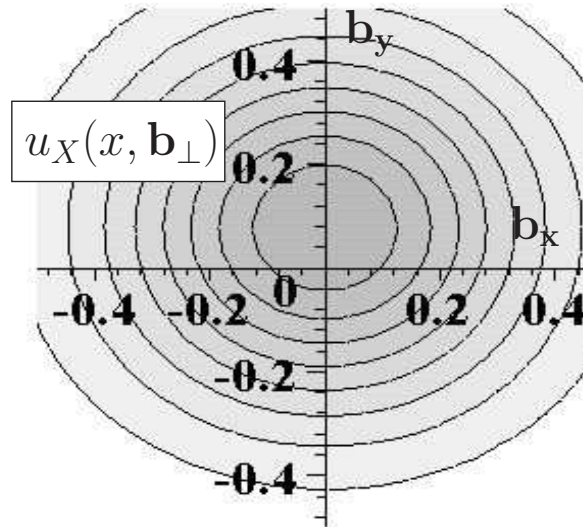
Transversely Distorted Distributions and $E(x, 0, -\Delta_{\perp}^2)$

- $q(x, \mathbf{b}_{\perp})$ in \perp polarized nucleon is distorted compared to longitudinally polarized nucleons !
- mean \perp displacement of flavor q (\perp flavor dipole moment)

$$d_y^q \equiv \int dx \int d^2 \mathbf{b}_{\perp} q_X(x, \mathbf{b}_{\perp}) b_y = \frac{1}{2M} \int dx E_q(x, 0, 0) = \frac{\kappa_q^p}{2M}$$

Here $\kappa_{u/d}^p \equiv F_2^{u/d}(0) = \mathcal{O}(1 - 2)$ and $\kappa_p = \frac{2}{3}\kappa_p^u - \frac{1}{3}\kappa_p^d = 1.793$

$\rightarrow d_y^q = \mathcal{O}(0.2 \text{ fm})$



GPD \longleftrightarrow SSA (Sivers)

- **Sivers**: distribution of **unpol.** quarks in \perp pol. proton

$$f_{q/p\uparrow}(x, \mathbf{k}_\perp) = f_1^q(x, \mathbf{k}_\perp^2) - f_{1T}^{\perp q}(x, \mathbf{k}_\perp^2) \frac{(\hat{\mathbf{P}} \times \mathbf{k}_\perp) \cdot S}{M}$$

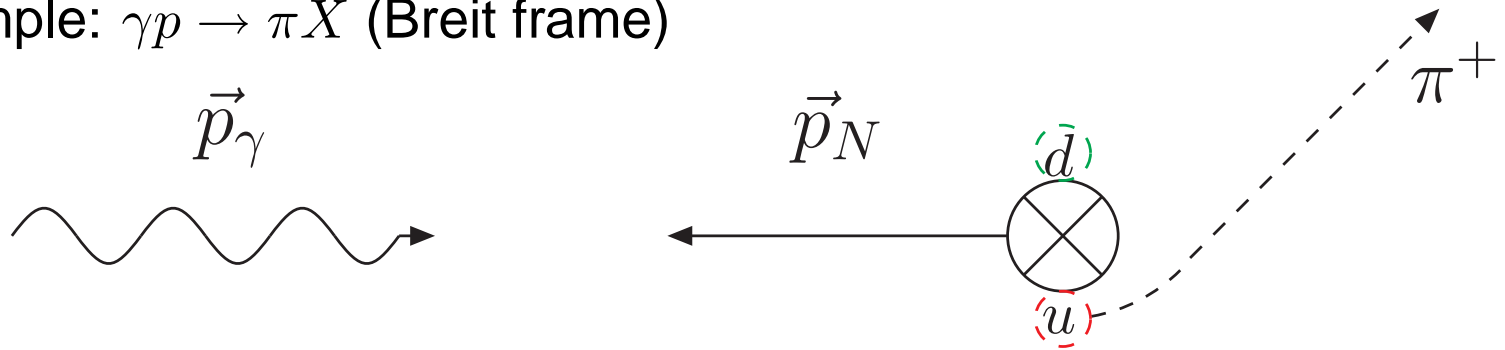
- without FSI, $\langle \mathbf{k}_\perp \rangle = 0$, i.e. $f_{1T}^{\perp q}(x, \mathbf{k}_\perp^2) = 0$
- with FSI, $\langle \mathbf{k}_\perp \rangle \neq 0$ (Brodsky, Hwang, Schmidt)
- FSI formally included by appropriate choice of Wilson line gauge links in gauge invariant def. of $q(x, \mathbf{k}_\perp)$
- \hookrightarrow Qiu, Sterman; Collins; Ji; Boer et al.;...

$$\langle \mathbf{k}_\perp \rangle \sim \left\langle P, S \left| \bar{q}(0) \gamma^+ \int_0^\infty d\eta^- G^{+\perp}(\eta) q(0) \right| P, S \right\rangle$$

- $\int_0^\infty d\eta^- G^{+\perp}(\eta)$ is the \perp impulse that the active quark acquires as it moves through color field of “spectators”
- What should we expect for Sivers effect in QCD ?

GPD \longleftrightarrow SSA (Sivers)

- example: $\gamma p \rightarrow \pi X$ (Breit frame)



- u, d distributions in \perp polarized proton have left-right asymmetry in \perp position space (T-even!); sign determined by κ_u & κ_d
- attractive FSI deflects active quark towards the center of momentum
- \hookrightarrow FSI translates position space distortion (before the quark is knocked out) in $+\hat{y}$ -direction into momentum asymmetry that favors $-\hat{y}$ direction
- \hookrightarrow correlation between sign of κ_q and sign of SSA: $f_{1T}^{\perp q} \sim -\kappa_q$
- $f_{1T}^{\perp q} \sim -\kappa_q$ consistent with HERMES results

GPD \longleftrightarrow SSA (Sivers); formal argument

- treat FSI to lowest order in g

\hookrightarrow

$$\langle k_q^i \rangle = -\frac{g}{4p^+} \int \frac{d^2 \mathbf{b}_\perp}{2\pi} \frac{b^i}{|\mathbf{b}_\perp|^2} \left\langle p, s \left| \bar{q}(0) \gamma^+ \frac{\lambda_a}{2} q(0) \rho_a(\mathbf{b}_\perp) \right| p, s \right\rangle$$

with $\rho_a(\mathbf{b}_\perp) = \int dr^- \rho_a(r^-, \mathbf{b}_\perp)$ summed over all quarks and gluons

- \hookrightarrow SSA related to dipole moment of density-density correlations
- GPDs (N polarized in $+\hat{x}$ direction): $u \longrightarrow +\hat{y}$ and $d \longrightarrow -\hat{y}$
- \hookrightarrow expect density density correlation to show same asymmetry $\langle b^y \bar{u}(0) \gamma^+ \frac{\lambda_a}{2} u(0) \rho_a(\mathbf{b}_\perp) \rangle > 0$
- \hookrightarrow sign of SSA opposite to sign of distortion in position space

Chirally Odd GPDs

$$\int \frac{dx^-}{2\pi} e^{ixp^+ x^-} \left\langle p' \left| \bar{q} \left(-\frac{x^-}{2} \right) \sigma^{+j} \gamma_5 q \left(\frac{x^-}{2} \right) \right| p \right\rangle = H_T \bar{u} \sigma^{+j} \gamma_5 u + \tilde{H}_T \bar{u} \frac{\varepsilon^{+j\alpha\beta} \Delta_\alpha P_\beta}{M^2} u + E_T \bar{u} \frac{\varepsilon^{+j\alpha\beta} \Delta_\alpha \gamma_\beta}{2M} u + \tilde{E}_T \bar{u} \frac{\varepsilon^{+j\alpha\beta} P_\alpha \gamma_\beta}{M}$$

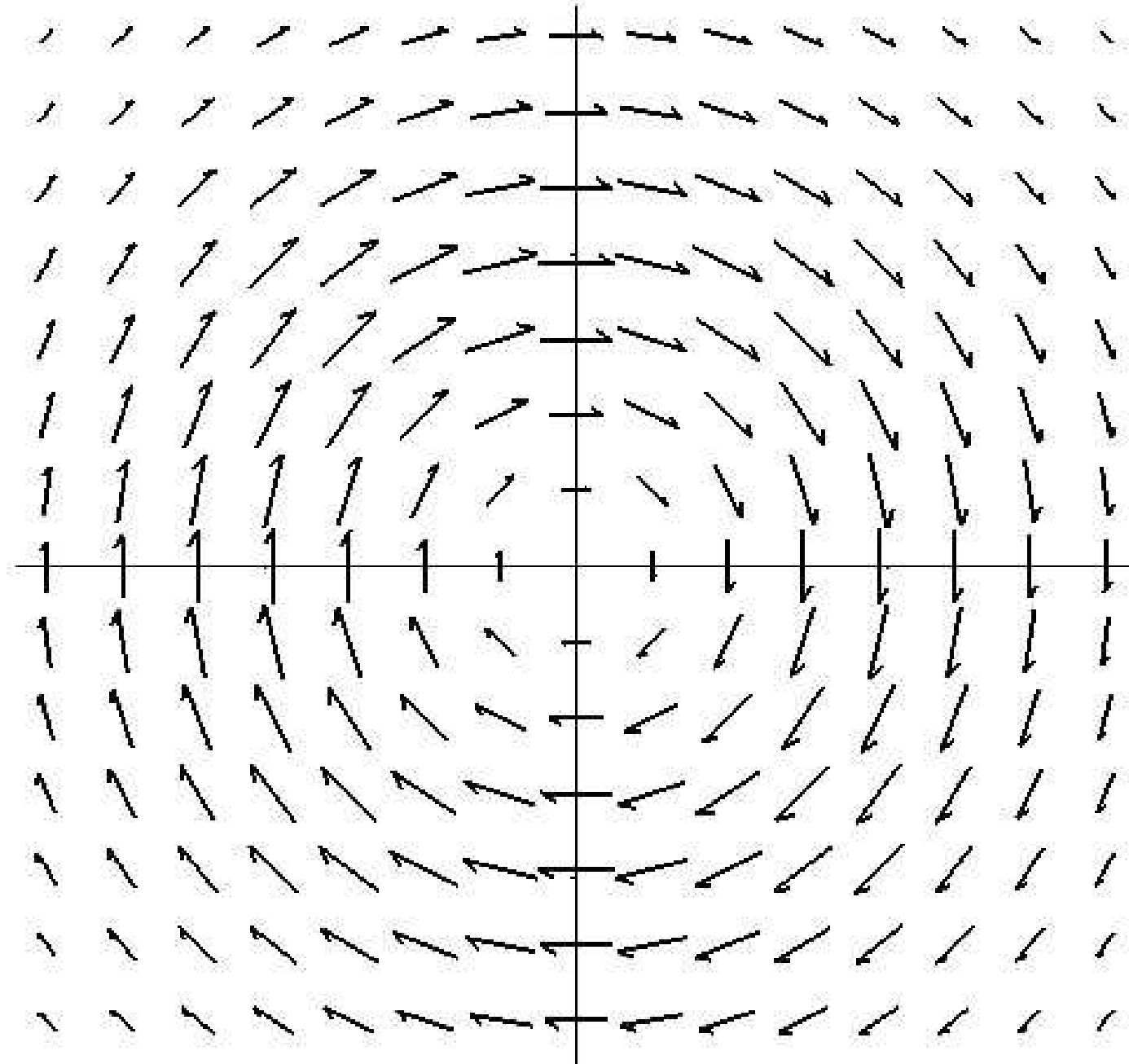
- See also M.Diehl+P.Hägler, hep-ph/0504175.
- Fourier trafo of $2\tilde{H}_T^q + E_T^q$ for $\xi = 0$ describes distribution of transversity for unpolarized target in \perp plane

$$q^i(x, \mathbf{b}_\perp) = \frac{\varepsilon^{ij}}{2M} \frac{\partial}{\partial b_j} \int \frac{d^2 \Delta_\perp}{(2\pi)^2} e^{i\mathbf{b}_\perp \cdot \Delta_\perp} \left[2\tilde{H}_T^q(x, 0, -\Delta_\perp^2) + E_T^q(x, 0, -\Delta_\perp^2) \right]$$

- origin: correlation between quark spin (i.e. transversity) and angular momentum
- angular momentum J_q^i carried by quarks with transverse spin s^j in an unpolarized target

$$\langle J_q^i(s^j) \rangle = \frac{\delta_{ij}}{4} \int dx \left[2\tilde{H}_T(x, 0, 0) + E_T(x, 0, 0) \right] x$$

Transversity Distribution in Unpolarized Target



Transversity Decomposition of J_q (a cool new “sum rule”)

- project on \perp spin (transversity) in \hat{y} direction $P_{\pm\hat{y}} \equiv \frac{1}{2} (1 \mp \gamma_5 \gamma^y)$
- Neither T^{0z} nor T^{0x} mix eigenstates of $P_{\pm\hat{y}}$
- ↪ decompose $J_q^y \equiv \int d^3x (T_q^{0x} z - T_q^{0z} x)$ w.r.t. transverse polarization (transversity) of quarks $J_q^y = J_{q,+ \hat{y}}^y + J_{q,- \hat{y}}^y$
- use (M.Diehl and P.Hägler, hep-ph/0504175)

$$\begin{aligned} \langle p' | \bar{q} \sigma^{\lambda\mu} \gamma_5 i \overleftrightarrow{D}^\nu q | p \rangle &= \bar{u} \sigma^{\lambda\mu} \gamma_5 u \bar{p}^\nu A_{T20}(t) + \frac{\varepsilon^{\lambda\mu\alpha\beta} \Delta_\alpha \bar{p}_\beta \bar{p}^\nu}{M^2} \bar{u} u \tilde{A}_{T20}(t) \\ &+ \frac{\varepsilon^{\lambda\mu\alpha\beta} \Delta_\alpha \bar{p}^\nu}{2M} \bar{u} \gamma_\beta u B_{T20}(t) + \frac{\varepsilon^{\lambda\mu\alpha\beta} \bar{p}_\alpha \Delta^\nu}{M} \bar{u} \gamma_\beta u \tilde{B}_{T21}(t) \end{aligned}$$

- with $A_{T20}(t) = \int_{-1}^1 dx x H_T(x, \xi, t)$, $\tilde{A}_{T20}(t) = \int_{-1}^1 dx x \tilde{H}_T(x, \xi, t)$,
- ↪ $B_{T20}(t) = \int_{-1}^1 dx x E_T(x, \xi, t)$, and $-2\xi \tilde{B}_{T21}(t) = \int_{-1}^1 dx x \tilde{E}_T(x, \xi, t)$

$$\delta^y J_q^y \equiv J_{q,+ \hat{y}}^y - J_{q,- \hat{y}}^y = \frac{1}{2} \int dx \left[2\tilde{H}_T(x, 0, 0) + E_T(x, 0, 0) \right] x$$

Transversity Decomposition of J_q (“intuitive” derivation)

- $J_q^i = \frac{1}{2} \varepsilon^{ijk} \int d^3x [T_q^{0j} x^k - T_q^{0k} x^j]$

- ↪ $\langle J_q^y \rangle = \int d^3x \langle T_q^{++} \cdot x \rangle$

- $T_q^{++} = \bar{q} \gamma^+ \overleftrightarrow{D}^+ q = \sum_{\pm s_y} T_{q, s_y}^{++}$ diagonal in transversity

- ↪ consider J_q contribution from quarks of given transversity

$$\langle J_{q, s_y}^y \rangle = \int d^3x \langle T_{q, s_y}^{++} \cdot x \rangle$$

- \perp deformation of \perp polarized quark distributions

- ↪ analog to Ji’s sum rule (unpol target) [M.B. hep-ph/0505189]

$$\langle J_{q, s_y}^y \rangle = \frac{1}{4} \int dx \left[2\tilde{H}_T(x, 0, 0) + E_T(x, 0, 0) \right] x$$

“correlation between quark transversity and J_q ”

- cannot directly measure $2\tilde{H}_T + E_T$:-P

- ↪ need LGT calcs., or ...

Boer-Mulders function

- **Boer-Mulders**: distribution of \perp pol. quarks in unpol. proton

$$f_{q\uparrow/p}(x, \mathbf{k}_\perp) = \frac{1}{2} \left[f_1^q(x, \mathbf{k}_\perp^2) - h_1^{\perp q}(x, \mathbf{k}_\perp^2) \frac{(\hat{\mathbf{P}} \times \mathbf{k}_\perp) \cdot S_q}{M} \right]$$

- ↪ position space asymmetry provides physical mechanism for Boer-Mulders function:
- attractive FSI expected to convert position space asymmetry into momentum space asymmetry
- ↪ e.g. quarks at negative b_x with spin in $+\hat{y}$ get deflected (due to FSI) into $+\hat{x}$ direction
- ↪ (qualitative) connection between Boer-Mulders function $h_1^\perp(x, \mathbf{k}_\perp)$ and the chirally odd GPD $2\tilde{H}_T + E_T$ that is similar to (qualitative) connection between Sivers function $f_{1T}^\perp(x, \mathbf{k}_\perp)$ and the GPD E .
 - sign of h_1^\perp opposite to sign of $2\tilde{H}_T + E_T$

Boer Mulders Function

Applications of “ $\frac{h_1^\perp}{2\tilde{H}_T + E_T} \approx \frac{f_{1T}^\perp}{E}$ ”:

- measure $h_1^\perp \Rightarrow$ sign of $2\tilde{H}_T + E_T$
- ↪ sign of spin-orbit correlation in nucleon wave function
- LGT calcs. of $2\tilde{H}_T + E_T \Rightarrow$ predictions for h_1^\perp

Summary

↪ knowledge of GPDs for $\xi = 0$ provides novel information about nonperturbative parton structure of nucleons: **distribution of partons in \perp plane**

$$q(x, \mathbf{b}_\perp) = \int \frac{d^2 \Delta_\perp}{(2\pi)^2} H(x, 0, -\Delta_\perp^2) e^{i\mathbf{b}_\perp \cdot \Delta_\perp}$$
$$\Delta q(x, \mathbf{b}_\perp) = \int \frac{d^2 \Delta_\perp}{(2\pi)^2} \tilde{H}(x, 0, -\Delta_\perp^2) e^{i\mathbf{b}_\perp \cdot \Delta_\perp}$$

- $q(x, \mathbf{b}_\perp)$ has probabilistic interpretation, e.g. $q(x, \mathbf{b}_\perp) > 0$ for $x > 0$
- $\frac{\Delta_\perp}{2M} E(x, 0, -\Delta_\perp^2)$ describes how the momentum distribution of unpolarized partons in the \perp plane gets transversely distorted when is nucleon polarized in \perp direction.
- (attractive) final state interaction in semi-inclusive DIS converts \perp position space asymmetry into \perp momentum space asymmetry
- ↪ simple physical explanation for observed Sivers effect in $\gamma^* p \rightarrow \pi X$

Summary

- New “sum rule”: $\langle J_q^x(s_q^x) \rangle_{unpol.target} = \frac{1}{4} \int dx \left[2\tilde{H}_T + E_T \right] x$
 - $2\tilde{H}_T + E_T$ measures correlation between \perp spin and \perp angular momentum (M.B., hep-ph/0505189)
 - physical explanation for Boer-Mulders effect; relation between h_1^\perp and the GPDs $2\tilde{H}_T + E_T$
- GPDs vs. $q(x, \mathbf{b}_\perp)$: M.B., PRD **62**, 71503 (2000), Int. J. Mod. Phys. **A18**, 173 (2003); see also D. Soper, PRD **15**, 1141 (1977).
- Connection to SSA in M.B., PRD **69**, 057501 (2004); NPA **735**, 185 (2004); PRD **66**, 114005 (2002).